

1 Department of Real Estate
2 320 W. 4th Street, Suite 350
3 Los Angeles, CA 90013-1105

4 Telephone: (213)576-6982

FILED
NOV 19 2007
DEPARTMENT OF REAL ESTATE

James S. Jones

7 **DEPARTMENT OF REAL ESTATE**

8 **STATE OF CALIFORNIA**

9 *In the Matter of the Application of*

10 **DOUGLAS WILLIAMS,**

11 Respondent)

) No. H-34128 LA

) L-2007080225

) **STIPULATION AND WAIVER**

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15 I, DOUGLAS WILLIAMS, respondent herein, do hereby affirm that I have applied to the Department
16 of Real Estate for a real estate salesperson license and that to the best of my knowledge I have satisfied all of
17 the statutory requirements for the issuance of the license, including the payment of the fee therefor.

18 I acknowledge that I have received and read the Statement of Issues and the Statement to Respondent
19 filed by the Department of Real Estate on July 19, 2007, in connection with my application for a real estate
20 salesperson license. I understand that the Real Estate Commissioner may hold a hearing on this Statement of
21 Issues for the purpose of requiring further proof of my honesty and truthfulness and to prove other
22 allegations therein, or that he may in his discretion waive the hearing and grant me a restricted real estate
23 salesperson license based upon this Stipulation and Waiver. I also understand that by filing the Statement of
24 Issues in this matter the Real Estate Commissioner is shifting the burden to me to make a satisfactory
25 showing that I meet all the requirements for issuance of a real estate salesperson license. I further understand
26 that by entering into this stipulation and waiver I will be stipulating that the Real Estate Commissioner has
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1 found that I have failed to make such a showing, thereby justifying the denial of the issuance to me of an
2 unrestricted real estate salesperson license.

3 I hereby admit that the allegations of the Statement of Issues filed against me are true and correct and
4 request that the Real Estate Commissioner in his discretion issue a restricted real estate salesperson license
5 to me under the authority of Section 10156.5 of the Business and Professions Code. I understand that any
6 such restricted license will be issued subject to and be limited by Section 10153.4 of the Business and
7 Professions Code.

8 I am aware that by signing this Stipulation and Waiver, I am waiving my right to a hearing and the
9 opportunity to present evidence at the hearing to establish my rehabilitation in order to obtain an unrestricted
10 real estate salesperson license if this Stipulation and Waiver is accepted by the Real Estate Commissioner.
11 However, I am not waiving my right to a hearing and to further proceedings to obtain a restricted or
12 unrestricted license if this Stipulation and Waiver is not accepted by the Commissioner.

13 I further understand that the following conditions, limitations, and restrictions will attach to a restricted
14 license issued by the Department of Real Estate pursuant hereto:

- 15 1. The license shall not confer any property right in the privileges to be exercised including the right
16 of renewal, and the Real Estate Commissioner may by appropriate order suspend the right to
17 exercise any privileges granted under this restricted license in the event of:
 - 18 a. The conviction of respondent (including a plea of nolo contendere) to a crime which bears a
19 substantial relationship to respondent's fitness or capacity as a real estate licensee; or
 - 20 b. The receipt of evidence that respondent has violated provisions of the California Real Estate
21 Law, the Subdivided Lands Law, Regulations of the Real Estate Commissioner, or
22 conditions attaching to this restricted license.
- 23 2. I shall not be eligible to apply for the issuance of an unrestricted real estate license nor the
24 removal of any of the conditions, limitations or restrictions attaching to the restricted license until
25 two years have elapsed from the date of issuance of the restricted license to respondent.

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1 3. With the application for license, or with the application for transfer to a new employing broker, I
2 shall submit a statement signed by the prospective employing broker on a form approved by the
3 Department of Real Estate wherein the employing broker shall certify as follows:

4 a. That broker has read the Statement of Issues which is the basis for the issuance of the
5 restricted license; and

6 b. That broker will carefully review all transaction documents prepared by the restricted
7 licensee and otherwise exercise close supervision over the licensee's performance of acts for
8 which a license is required.

9 4. My restricted real estate salesperson license is issued subject to the requirements of Section
10 10153.4 of the Business and Professions Code, to wit: I am required, within eighteen (18) months
11 of the issuance of the restricted license, to submit evidence satisfactory to the Commissioner of
12 successful completion, at an accredited institution, of *a course in real estate practices and*
13 *one* of the courses listed in Section 10153.2, other than real estate principles, advanced legal
14 aspects of real estate, advanced real estate finance, or advanced real estate appraisal. If I fail to
15 timely present to the Department satisfactory evidence of successful completion of the two
16 required courses, the restricted license shall be automatically suspended effective eighteen (18)
17 months after the date of its issuance. Said suspension shall not be lifted unless, prior to the
18 expiration of the restricted license, I have submitted the required evidence of course completion
19 and the Commissioner has given written notice to Respondent of the lifting of the suspension.

20 5. Pursuant to Section 10154, if I have not satisfied the requirements for an unqualified license
21 under Section 10153.4, Respondent shall not be entitled to renew the restricted license, and shall
22 not be entitled to the issuance of another license which is subject to Section 10153.4 until four
23 years after the date of the issuance of the preceding restricted license.

24 Respondent can signify acceptance and approval of the terms and conditions of this Stipulation and
25 Waiver by faxing a copy of the signature page, as actually signed by respondent, to the Department at fax
26 number (213) 576-6917. Respondent agrees, acknowledges and understands that by electronically sending to
27 the Department a fax copy of his/her actual signature as it appears on the Stipulation and Waiver, that receipt

1 of the faxed copy by the Department shall be as binding on respondent as if the Department had received the
2 original signed Stipulation and Waiver.

3 10/2/07

4 Dated

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6 DOUGLAS WILLIAMS, Respondent

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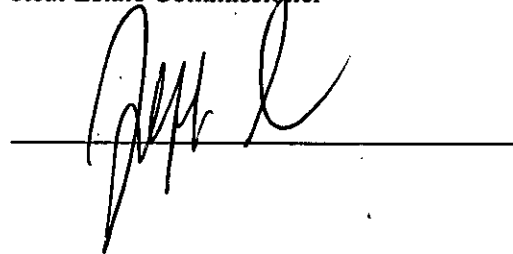
8 I have read the Statement of Issues filed herein and the foregoing Stipulation and Waiver signed by
9 respondent. I am satisfied that the hearing for the purpose of requiring further proof as to the honesty and
10 truthfulness of respondent need not be called and that it will not be inimical to the public interest to issue a
11 restricted real estate salesperson license to respondent.

12 Therefore, IT IS HEREBY ORDERED that a restricted real estate salesperson license be issued to
13 respondent DOUGLAS WILLIAMS if respondent has otherwise fulfilled all of the statutory requirements
14 for licensure. The restricted license shall be limited, conditioned, and restricted as specified in the foregoing
15 Stipulation and Waiver.

16 This Order is effective immediately.

17 IT IS SO ORDERED 11-13-07

18 Jeff Davi
19 Real Estate Commissioner

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FILED

JUL 19 2007

MARTHA J. ROSETT, Counsel (SBN 142072)
Department of Real Estate
320 West Fourth St. #350
Los Angeles, CA 90013

DEPARTMENT OF REAL ESTATE
BY: *Laura B. Brown*

(213) 576-6982
(213) 620-6430

BEFORE THE DEPARTMENT OF REAL ESTATE
STATE OF CALIFORNIA

* * * *

In the Matter of the Application of)	No. H-34128 LA
DOUGLAS WILLIAMS,	<u>STATEMENT OF ISSUES</u>
Respondent.	

The Complainant, Maria Suarez, a Deputy Real Estate Commissioner of the State of California, for Statement of Issues against DOUGLAS WILLIAMS (hereinafter "Respondent"), alleges in her official capacity as follows:

1.

On or about November 20, 2006, Respondent made application to the Department of Real Estate of the State of California for a real estate salesperson license with the knowledge and understanding that any license issued as a result of said application would be subject to the conditions of Section 10153.4 of the Code.

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1 2.

2 Beginning on or about March 18, 1983, Respondent was
3 licensed by the California Board of Accountancy as a Certified
4 Public Accountant. Effective January 7, 2007, in Case No.
5 AC2006-9, the Board of Accountancy revoked Respondent's CPA
6 license, which revocation was stayed, and Respondent was placed
7 on three years probation. The terms and conditions of probation
8 include submission of quarterly reports, taking and passing a
9 Board approved ethics examination, and reimbursement of costs of
10 investigation and prosecution in the amount of \$7,030.00. The
11 grounds for discipline of Respondent's CPA license were that he
12 performed acts requiring a license during periods of time when
13 his license was inactive, in violation of Business and
14 Professions Code Section 5050.

15 3.

16 The discipline of Respondent's CPA license, as set
17 forth in Paragraph 2 above, constitutes grounds to deny
18 Respondent's application for a real estate salesperson license,
19 pursuant to Business and Professions Code Section 10177(f).

20 These proceedings are brought under the provisions of
21 Section 10100, Division 4 of the Business and Professions Code of
22 the State of California and Sections 11500 through 11528 of the
23 Government Code.

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1 WHEREFORE, the Complainant prays that the above-
2 entitled matter be set for hearing and, upon proof of the charges
3 contained herein, that the Commissioner refuse to authorize the
4 issuance of, and deny the issuance of, a real estate salesperson
5 license to Respondent DOUGLAS WILLIAMS, and for such other and
6 further relief as may be proper under the law.

7 Dated at Los Angeles, California
8 this 17th day of July, 2007.

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13 Maria Suarez
14 Deputy Real Estate Commissioner
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25 cc: Douglas Williams
26 Adams & Barnes, Inc./Thomas E. Adams
27 Maria Suarez
Sacto.