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1 2 3 4 5	DEPARTMENT OF REAL ESTATE 320 West 4th Street, Suite 350 Los Angeles, California 90013-1105 Telephone: (213) 620-2072	FILED APR 0 4 2023 DEPT. OF REAL ESTATE By Common Mary	
6 7			
8	BEFORE THE DEPARTMENT OF REAL ESTATE		
9	STATE OF CALIFORNIA		
10	* * * *		
11	In the Matter of the Accusation against	DRE No. H-42344 LA OAH No. 2022100779	
12	<u>PINNACLE INTERNATIONAL PROPERTY</u> <u>SERVICES;</u>	STIPULATION AND	
13 14 15	<u>DEAN WU</u> , individually, and as Division Manager for Pinnacle International Property Services; and	AGREEMENT IN SETTLEMENT AND ORDER AS TO RESPONDENTS PINNACE INTERNATIONAL PROPERTY	
16 17	JAMES WILLIAM MUCCIOLA, individually, and as former Designated Officer for Pinnacle International Property Services;	SERVICES AND DEAN WU	
18	Respondents.		
19	It is hereby stipulated by and between Responde	ent PINNACLE INTERNATIONAL	
20	PROPERTY SERVICES and Respondent DEAN WU		
21	Respondents are represented by attorney Joshua A. Rosenthal, and the Complainant, acting by		
22	and through Lissete Garcia, Counsel for the Department of Real Estate ("Department"), as		
23	follows for the purpose of settling and disposing the Second Amended Accusation		
24	("Accusation") filed on September 15, 2022, with Department Case No. H-42344 LA		
	Stipulation and Agreement for Respo H-42344 LA 1	ndents PIPS and WU	

- 1 ("Accusation") in this matter:
- All issues which were to be contested and all evidence which was to be presented
   by Complainant and Respondents at a formal hearing on the Accusation, which hearing was to be
   held in accordance with the provisions of the Administrative Procedure Act ("APA"), shall
   instead and in place thereof be submitted on the basis of the provisions of this Stipulation and
   Agreement in Settlement and Order ("Stipulation").
- 7 2. Respondents have received, read, and understand the Statement to Respondent. 8 the Discovery Provisions of the APA, and Accusation filed by the Department in this proceeding. 9 3. Respondents filed a Notice of Defense pursuant to Section 11506 of the 10 Government Code for the purpose of requesting a hearing on the allegations in the Accusation. 11 Respondents hereby freely and voluntarily withdraw said Notice of Defense. Respondents 12 acknowledge and understand that by withdrawing said Notice of Defense, Respondents will 13 thereby waive Respondents' rights to require the Real Estate Commissioner ("Commissioner") to 14 prove the allegations in the Accusation at a contested hearing held in accordance with the 15 provisions of the APA and that Respondents will waive other rights afforded to Respondents in connection with the hearing such as the right to present evidence in defense of the allegations in 16 17 the Accusation and the right to cross-examine witnesses.
- 4. This Stipulation is based on the factual allegations contained in the Accusation
  filed in this proceeding. In the interest of expedience and economy, Respondents choose not to
  contest these factual allegations, but to remain silent and understand that, as a result thereof,
  these factual statements, will serve as a prima facie basis for the disciplinary action stipulated to
  herein. The Real Estate Commissioner shall not be required to provide further evidence to prove
  such allegations.
- 24 ////

1 5. This Stipulation and Respondents' decision not to contest the Accusation are 2 made for the purpose of reaching an agreed disposition of this proceeding and are expressly 3 limited to this proceeding and any other proceeding or case in which the Department, or another licensing agency of this state, another state or if the federal government is involved and 4 5 otherwise shall not be admissible in any other criminal or civil proceedings. 6 6. It is understood by the parties that the Real Estate Commissioner may adopt the 7 Stipulation and Agreement as the Commissioner's Decision in this matter, thereby imposing the 8 penalty and sanctions on Respondents' real estate licenses and license rights as set forth in the below "Order." In the event that the Commissioner in his discretion does not adopt the 9 10 Stipulation and Agreement, it shall be void and of no effect, and Respondents shall retain the 11 right to a hearing and proceeding on the Accusation under all the provisions of the APA and 12 shall not be bound by any admission or waiver made herein. 13 7. The Order or any subsequent Order of the Real Estate Commissioner made pursuant to this Stipulation and Agreement shall not constitute an estoppel, merger or bar to any 14 15 further administrative or civil proceedings by the Department of Real Estate with respect to any 16 matters which were not specifically alleged to be causes for accusation in this proceeding. 17 DETERMINATION OF ISSUES 18 By reason of the foregoing stipulation and agreement and solely for the purpose of 19 settlement of the pending Accusation without a hearing, it is stipulated and agreed that the 20 following determination of issues shall be made: 21 I. 22 The conduct, acts and/or omissions of Respondent PINNACLE INTERNATIONAL 23 PROPERTY SERVICES as set forth herein above in Paragraph 4, constitute cause for the suspension or revocation of all real estate licenses and license rights of Respondent PINNACLE 24 Stipulation and Agreement for Respondents PIPS and WU H-42344 LA 3

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1	INTERNATIONAL PROPERTY SERVICES pursuant to the provisions of Code section 10177,		
2	subdivisions (d) and (g), and Code section 10176, subdivisions (e), (g), and (i), for violation of		
3	Code sections 10145, 10159.5, 10176, subdivisions (e), (g), and (i), and Regulations of the Real		
4	Estate Commissioner, Title 10, Chapter 6, California Code of Regulations ("Regulations") 2731,		
5	2831, 2831.1, 2831.2, 2832, 2832.1, and 2834.		
6	II.		
7	The conduct, acts and/or omissions of Respondent DEAN WU as set forth herein above		
8	in Paragraph 4, constitute cause for the suspension or revocation of all real estate licenses and		
9	license rights of Respondent DEAN WU pursuant to the provisions of Code sections 10165 and		
10	10177, subdivisions (d), (g), and (h), for violation of Code sections 10164, 10159.2 and		
11	Regulation 2725.		
12	ORDER		
13	I.		
14	Respondent PINNACLE INTERNATIONAL PROPERTY SERVICES voluntarily		
15	surrenders all of its licenses and licensing rights of under the Real Estate Law.		
16	Ш.		
17	All licenses and licensing rights of Respondent DEAN WU under the Real Estate Law		
18	are revoked; provided, however, a restricted real estate salesperson license shall be issued to		
19	Respondent DEAN WU pursuant to Section 10156.5 of the Code if Respondent DEAN WU		
20	makes application therefor and pays to the Department the appropriate fee for the restricted		
21	license within 90 days from the effective date of this Decision and Order. The restricted license		
22	issued to Respondent DEAN WU shall be subject to all of the provisions of Section 10156.7 of		
23	the Code and to the following limitations, conditions and restrictions imposed under authority of		
24	Section 10156.6 of that Code:		
	Stipulation and Agreement for Respondents PIPS and WU H-42344 LA 4		

1 1. The restricted license issued to Respondent DEAN WU may be suspended prior
 2 to hearing by Order of the Commissioner in the event of Respondent's conviction or plea of nolo
 3 contendere to a crime which is substantially related to Respondent's fitness or capacity as a real
 4 estate licensee.

The restricted license issued to Respondent DEAN WU may be suspended prior
 to hearing by Order of the Real Estate Commissioner on evidence satisfactory to the
 Commissioner that Respondent has violated provisions of the California Real Estate Law, the
 Subdivided Lands Law, Regulations of the Real Estate Commissioner or conditions attaching to
 the restricted license.

Respondent DEAN WU shall not be eligible to petition for the issuance of any
 unrestricted real estate license nor for removal of any of the conditions, limitations or restrictions
 of a restricted license until three (3) years have elapsed from the effective date of this Decision
 and Order. Respondent shall not be eligible to apply for any unrestricted licenses until all
 restrictions attaching to the license have been removed.

4. Respondent DEAN WU shall submit with any application for license under an
employing broker, or any application for transfer to a new employing broker, a statement signed
by the prospective employing real estate broker on a form approved by the Department which
shall certify:

19 (a) That the employing broker has read the Decision and Order of the
20 Commissioner which granted the right to a restricted license; and
21 (b) That the employing broker will exercise close supervision over the
22 performance by the restricted licensee relating to activities for which a real
23 estate license is required.

24

Stipulation and Agreement for Respondents PIPS and WU H-42344 LA

S. Respondent DEAN WU may not act as, or be appointed as, a designated branch or
 division manager for any real estate broker or corporation for three (3) years from the effective
 date of this Decision and Order.

4 6. All licenses and licensing rights of Respondent DEAN WU are indefinitely 5 suspended unless or until Respondent provides proof satisfactory to the Commissioner, of having 6 taken and successfully completed the continuing education course on trust fund accounting and 7 handling specified in paragraph (3) of subdivision (a) of Section 10170.5 of the Business and 8 Professions Code. Proof of satisfaction of these requirements includes evidence that Respondent 9 has successfully completed the trust fund account and handling continuing education courses, no 10 earlier than 120 days prior to the effective date of the Decision and Order in this matter. Proof 11 of completion of the trust fund accounting and handling course must be delivered to the 12 Department of Real Estate, Flag Section at P.O. Box 137013, Sacramento, CA 95813-7013 13 or by fax at 916-263-8758, prior to the effective date of this Decision and Order. 14 7. All licenses and licensing rights of Respondent DEAN WU are indefinitely 15 suspended unless or until Respondent DEAN WU pays, severally or jointly with Respondent 16 PINNACLE INTERNATIONAL PROPERTY SERVICES, the total sum of \$6,025.50 for the 17 Commissioner's reasonable cost of the investigation and enforcement which led to this 18 disciplinary action. Said payment shall be in the form of a cashier's check made payable to the 19 Department of Real Estate. The investigative and enforcement costs must be delivered to the 20 Department of Real Estate, Flag Section at P.O. Box 137013, Sacramento, CA 95813-7013, 21 prior to the effective date of this Decision and Order. Payment of investigation and 22 enforcement costs should not be made until the Stipulation has been approved by the 23 Commissioner.

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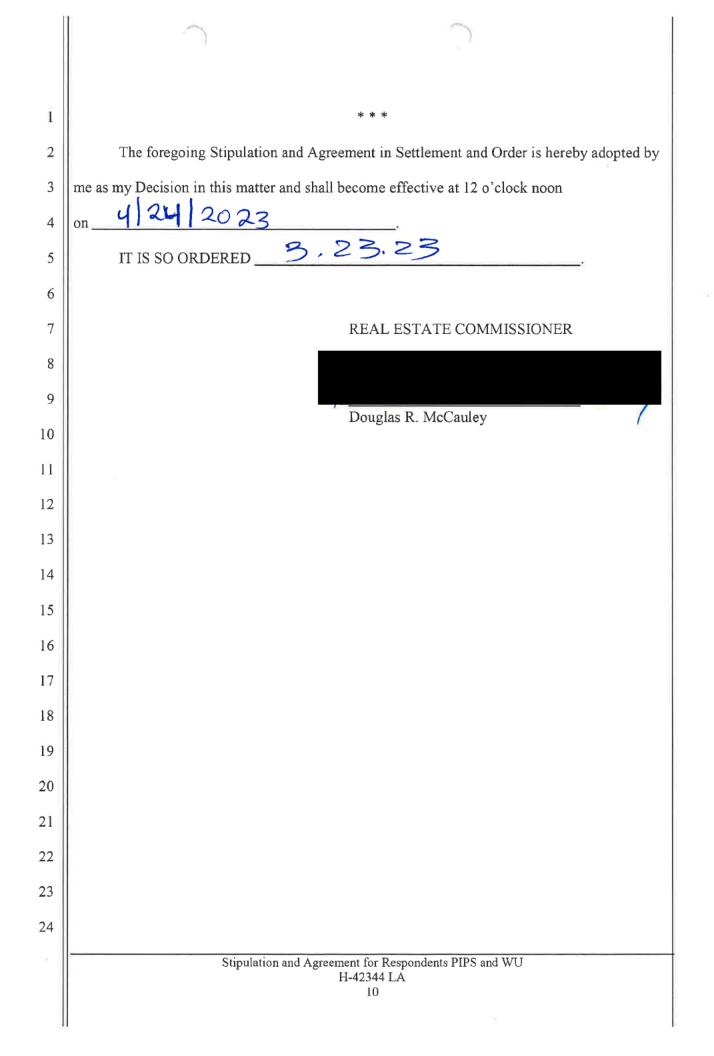
Respondent DEAN WU shall, within six (6) months from the effective date of
 this Decision and Order, take and pass the Professional Responsibility Examination
 administered by the Department including the payment of the appropriate examination fee. If
 Respondent fails to satisfy this condition, Respondent's real estate license shall automatically be
 suspended until Respondent passes the examination.

Respondent DEAN WU shall, within nine (9) months from the effective date of 6 9. 7 this Decision and Order, present evidence satisfactory to the Commissioner that Respondent 8 has, since the most recent issuance of an original or renewal real estate license, taken and 9 successfully completed the continuing education requirements of Article 2.5 of Chapter 3 of the 10 Real Estate Law for renewal of a real estate license. Proof of satisfaction of these requirements 11 includes evidence that Respondent has successfully completed the trust fund account and handling continuing education courses, no earlier than 120 days prior to the effective date of the 12 Decision and Order in this matter. If Respondent DEAN WU fails to satisfy this condition, 13 14 Respondent's real estate license shall automatically be suspended until Respondent presents 15 evidence satisfactory to the Commissioner of having taken and successfully completed the continuing education requirements. Proof of completion of the continuing education courses 16 17 must be delivered to the Department of Real Estate, Flag Section at P.O. Box 137013, 18 Sacramento, CA 95813-7013.

Pursuant to Section 10148 of the Code, Respondent DEAN WU shall pay,
 severally or jointly with Respondent PINNACLE INTERNATIONAL PROPERTY SERVICES,
 the total sum of \$18,159.00 for the Commissioner's cost of the audit which led to this
 disciplinary action. Respondents shall pay such cost within sixty (60) days of receiving an
 invoice therefore from the Commissioner. Payment of audit costs should not be made until
 Respondents receive the invoice. If Respondents fail to satisfy this condition in a timely

manner as provided for herein, Respondent DEAN WU's real estate licenses shall automatically 1 be suspended until payment is made in full, or until a decision providing otherwise is adopted 2 following a hearing held pursuant to this condition. 3 4 5 DATED: 02/22/2023 Lissete Garcia, Counsel Department of Real Estate 6 7 \* \* We have read this Stipulation and its terms are understood by us and are agreeable and 8 9 acceptable to us. We understand that we are waiving rights given to us by the California APA (including, but not limited to, Sections 11506, 11508, 11509, and 11513 of the Government 10 Code), and we willingly, intelligently, and voluntarily waive those rights, including the right of 11 requiring the Commissioner to prove the allegations in the Accusation at a hearing at which we 12 would have the right to cross-examine witnesses against us and to present evidence in defense 13 and mitigation of the charges. 14 Respondents can signify acceptance and approval of the terms and conditions of this 15 Stipulation and Agreement by electronically e-mailing a copy of the signature page, as actually 16 signed by Respondents, to the Department. Respondents agree, acknowledge, and understand 17 that by electronically sending to the Department an electronic copy of Respondents' actual 18 signatures, as they appear on the Stipulation, that receipt of the emailed copy by the Department 19 shall be as binding on Respondents as if the Department had received the original signed 20 Stipulation. By signing this Stipulation, Respondents understand and agree that Respondents 21 may not withdraw Respondents' agreement or seek to rescind the Stipulation prior to the time the 22 Commissioner considers and acts upon it or prior to the effective date of the Stipulation and 23 24 Order. Stipulation and Agreement for Respondents PIPS and WU

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1	MAILING		
2	Respondents and their counsel shall, within five (5) business days from signing the		
3	Stipulation, <u>mail</u> the original signed signature page(s) of the Stipulation herein to Lissete Garcia,		
4	Attention: Legal Section, Department of Real Estate, 320 W. Fourth St., Room 350, Los		
5	Angeles, California 90013-1105.		
6	Respondents' signatures below constitute acceptance and approval of the terms and		
7	conditions of this Stipulation. Respondents agree, acknowledge, and understand that by signing		
8	this Stipulation Respondents are bound by its terms as of the date of such signature and that this		
9	agreement is not subject to rescission or amendment at a later date except by a separate Decision		
10	and Order of the Real Estate Commissioner.		
11			
12	DATED: 2/22/23 Respondent PINNACLE INTERNATIONAL PROPERTY		
13	SERVICES		
14	By (Printed Name):		
15	Title: CEO		
16			
17	DATED: 2/22/23 Respondent DEAN WU		
18			
19	DATED: 2/22/23		
20	Joshua A. Rosenthal, Esq., Attorney for Respondents Pinnacle International Property Services and Dean Wu		
21	Approved as to Form		
22	///		
23	111		
24	///		
	Stipulation and Agreement for Respondents PIPS and WU H-42344 LA		
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1 2 3 4 5	FILED APR 0 4 2023 DEPT. OF REAL ESTATE By Summerican	
6 7 8	BEFORE THE DEPARTMENT OF REAL ESTATE	
9	STATE OF CALIFORNIA	
10	* * *	
11	In the Matter of the Accusation against: ) DRE No. H-42344 LA	
12	PINNACLE INTERNATIONAL PROPERTY	
13	SERVICES,	
14	ORDER ACCEPTING VOLUNTARY SURRENDER OF REAL ESTATE LICENSE	
15	ONDER ACCEPTING VOLUNTARY SURRENDER OF REAL ESTATE LICENSE On September 15, 2022, a Second Amended Accusation was filed in this matter	
16 17	against Respondent PINNACLE INTERNATIONAL PROPERTY SERVICES ("Respondent").	
18	On February 22, 2023, Respondent petitioned the Commissioner to voluntarily	
19	surrender its real estate broker license pursuant to Section 10100.2 of the Business and Professions	
20	Code.	
21	IT IS HEREBY ORDERED that Respondent PINNACLE INTERNATIONAL	
22	PROPERTY SERVICES's petition for voluntary surrender of its real estate broker license is	
23	accepted as of the effective date of this Order as set forth below, based upon the understanding and	
24	agreement expressed in Respondent's Declaration dated February 22, 2023, (attached as Exhibit	
25	"A" hereto). Respondent's license certificate and pocket card shall be sent to the below-listed	
26	address so that they reach the Department of Real Estate on or before the effective date of this	
27	Order: ///	
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1 2 3 4 5 6	DEPARTMENT OF REAL ESTATE Attention: Licensing Flag Section P. O. Box 137013 Sacramento, CA 95813-7013 This Order shall become effective at 12 o'clock noon on <u>4042023</u> . DATED: <u>3.23,23</u> DOUGLAS R. McCAULEY REAL ESTATE COMMISSIONER	
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1 2		EXHIBIT "A"
3 4		
5	BEFORE THE DEPARTMENT OF I	REAL ESTATE
6	STATE OF CALIFORN	IA
7	* * *	
8 9	In the Matter of the Accusation against PINNACLE INTERNATIONAL PROPERTY	DRE No. H-42344 LA OAH No. 2022100779
10	SERVICES;	
11	DEAN WU, individually, and as Division Manager for Pinnacle International Property Services; and	
12 13	JAMES WILLIAM MUCCIOLA, individually, and as former Designated Officer for Pinnacle International Property Services;	
14	Respondents.	
15 16	DECLARATION	
	My name is Dean Wu and I am the owner, ar	Officer and director of PINNACLE
17	INTERNATIONAL PROPERTY SERVICES, which is lice	nsed as a corporate real estate broker
18	and/or has license rights with respect to said license. I am a	uthorized and empowered to sign this
19	declaration on behalf of PINNACLE INTERNATIONAL P	
20	In lieu of proceeding in this matter in accorda	
21	Administrative Procedure Act (Sections 11400 et seq., of the	-
22		
23	INTERNATIONAL PROPERTY SERVICES wishes to vol	
24	license issued by the Department of Real Estate ("Department"), pursuant to Business and	
	Professions Code Section 10100.2. H-42344 LA – VOLUNTARY SURRENDER DE - PAGE 1 -	CLARATION OF PIPS

1	I understand that PINNACLE INTERNATIONAL PROPERTY SERVICES, by
2	so voluntarily surrendering its license, can only have its license reinstated, or issued any new
3	license or mortgage loan origination endorsement, only by petitioning for reinstatement pursuant
4	to Section 11522 of the Government Code. I also understand that by so voluntarily surrendering
5	its license, PINNACLE INTERNATIONAL PROPERTY SERVICES agrees to the following:
6	1. The filing of this Declaration shall be deemed as PINNACLE
7	INTERNATIONAL PROPERTY SERVICES's petition for voluntary surrender.
8	2. It shall also be deemed to be an understanding and agreement by me that
9	PINNACLE INTERNATIONAL PROPERTY SERVICES waives all rights it has to require the
10	Commissioner to prove the allegations contained in the Second Amended Accusation filed in this
11	matter at a hearing held in accordance with the provisions of the Administrative Procedure Act
12	(Government Code Sections 11400 et seq.), and that it also waives other rights afforded to it in
13	connection with the hearing such as the right to discovery, the right to present evidence in
14	defense of the allegations in the Second Amended Accusation and the right to cross-examine
15	witnesses.
16	3. PINNACLE INTERNATIONAL PROPERTY SERVICES further agrees that
17	upon acceptance by the Commissioner, as evidenced by an appropriate order, all affidavits and
18	all relevant evidence obtained by the Department in this matter prior to the Commissioner's
19	acceptance, and all allegations contained in the Second Amended Accusation filed in the
20	Department Case No. H-42344 LA, may be considered by the Department to be true and correct
21	for the purpose of deciding whether to grant re-licensure or reinstatement pursuant to
22	Government Code Section 11522.
23	4. PINNACLE INTERNATIONAL PROPERTY SERVICES freely and
24	voluntarily surrenders all its licenses and license rights under the Real Estate Law.
	H-42344 LA – VOLUNTARY SURRENDER DECLARATION OF PIPS - PAGE 2 -
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1	5. PINNACLE INTERNATIONAL PROPERTY SERVICES further agrees to
2	pay, jointly with Respondent DEAN WU or separately, the Commissioner's reasonable cost for
3	the audit which led to this action in the amount of \$18,159.00. In calculating the amount of the
4	Commissioner's reasonable cost, the Commissioner may use the estimated average hourly salary
5	for all persons performing audits of real estate brokers, and shall include an allocation for travel
6	time to and from the auditors' place of work. PINNACLE INTERNATIONAL PROPERTY
7	SERVICES will pay the audit cost within 60 days of receiving an invoice from the
8	Commissioner detailing the activities performed during the audit and the amount of time spent
9	performing those activities.
10	6. PINNACLE INTERNATIONAL PROPERTY SERVICES further agrees to
11	pay, jointly with Respondent DEAN WU or separately, the Commissioner's reasonable
12	investigation and enforcement costs for this action in the amount of \$6,025.50.
13	I am aware that if PINNACLE INTERNATIONAL PROPERTY SERVICES
14	petitions for reinstatement in the future, that payment of the audit costs, investigation costs, and
15	enforcement costs will be a condition of reinstatement.
16	I declare under penalty of perjury under the laws of the State of California that the
17	above is true and correct and that this declaration was executed on $\frac{2/22}{23}$ ,
18	at, California.
19	(City)
20	
21	DEAN WU on behalf of PINNACLE INTERNATIONAL PROPERTY SERVICES
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	H-42344 LA – VOLUNTARY SURRENDER DECLARATION OF PIPS - PAGE 3 -

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1 2 3 4 5 6	DEPARTMENT OF REAL ESTATE 320 West 4th Street, Suite 350 Los Angeles, California 90013-1105 Telephone: (213) 620-2072	FILED APR 0 4 2023 DEPT. OF REAL ESTATE By	
7			
8	BEFORE THE DEPARTMENT OF REAL ESTATE		
9	STATE OF CALIFORNIA		
10	* * * *		
11	In the Matter of the Accusation against	DRE No. H-42344 LA OAH No. 2022100779	
12 13	PINNACLE INTERNATIONAL PROPERTY SERVICES;	STIPULATION AND	
13 14 15	DEAN WU, individually, and as Division Manager for Pinnacle International Property Services; and	AGREEMENT IN SETTLEMENT AND ORDER AS TO RESPONDENT JAMES WILLIAM MUCCIOLA	
16 17	<u>JAMES WILLIAM MUCCIOLA</u> , individually, and as former Designated Officer for Pinnacle International Property Services;		
18	Respondents.	-1.	
19	It is hereby stipulated by and between Respond	ent IAMES WILLIAM MUCCIOLA	
20	It is hereby stipulated by and between Respondent JAMES WILLIAM MUCCIOLA ("Respondent"), Respondent is represented by attorney Scott J. Harris, and the Complainant,		
21	acting by and through Lissete Garcia, Counsel for the Department of Real Estate		
22	("Department"), as follows for the purpose of settling and disposing the Second Amended		
23	Accusation ("Accusation") filed on September 15, 2022, with Department Case No. H-42344 LA		
24	("Accusation") in this matter:		
	Stipulation and Agreement for Respondent James William Mucciola H-42344 LA 1		
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All issues which were to be contested and all evidence which was to be presented
 by Complainant and Respondent at a formal hearing on the Accusation, which hearing was to be
 held in accordance with the provisions of the Administrative Procedure Act ("APA"), shall
 instead and in place thereof be submitted on the basis of the provisions of this Stipulation and
 Agreement in Settlement and Order ("Stipulation").

- Respondent has received, read, and understands the Statement to Respondent, the
  Discovery Provisions of the APA, and Accusation filed by the Department in this proceeding.
- 8 3. Respondent filed a Notice of Defense pursuant to Section 11506 of the 9 Government Code for the purpose of requesting a hearing on the allegations in the Accusation. Respondent hereby freely and voluntarily withdraws said Notice of Defense. Respondent 10 11 acknowledges and understands that by withdrawing said Notice of Defense, Respondent will 12 thereby waive Respondent's rights to require the Real Estate Commissioner ("Commissioner") to prove the allegations in the Accusation at a contested hearing held in accordance with the 13 provisions of the APA and that Respondent will waive other rights afforded to Respondent in 14 15 connection with the hearing such as the right to present evidence in defense of the allegations in 16 the Accusation and the right to cross-examine witnesses.
- This Stipulation is based on the factual allegations contained in the Accusation
   filed in this proceeding. In the interest of expedience and economy, Respondent chooses not to
   contest these factual allegations, but to remain silent and understands that, as a result thereof,
   these factual statements, will serve as a prima facie basis for the disciplinary action stipulated to
   herein. The Real Estate Commissioner shall not be required to provide further evidence to prove
   such allegations.
- 23

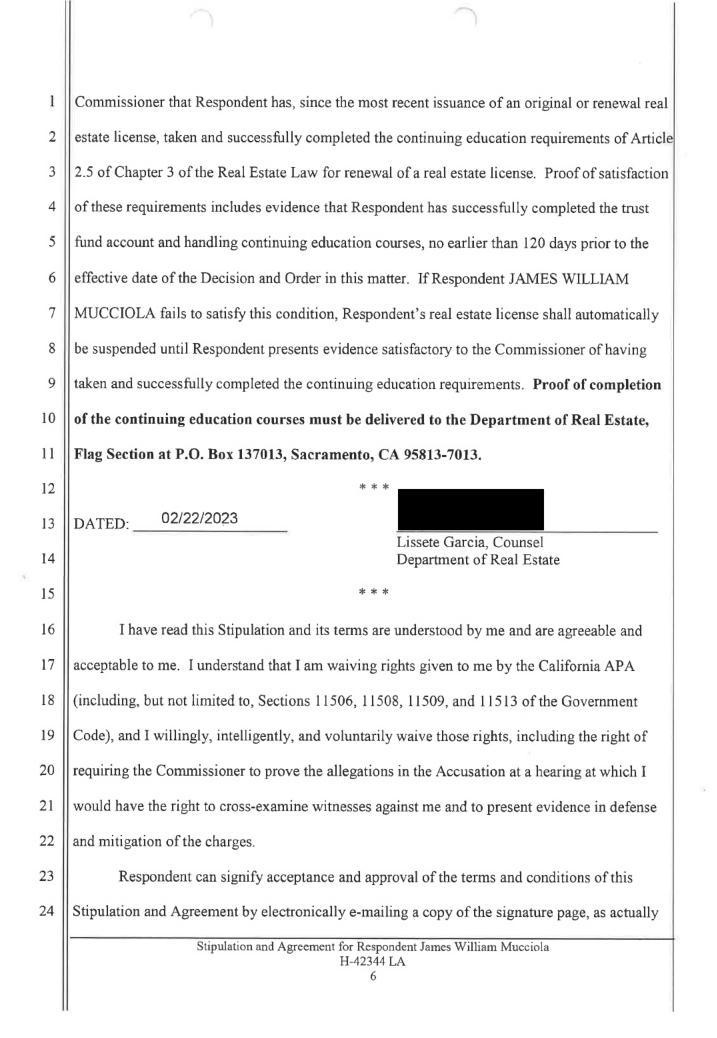
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5. This Stipulation and Respondent's decision not to contest the Accusation are made for the purpose of reaching an agreed disposition of this proceeding and are expressly

1	limited to this proceeding and any other proceeding or case in which the Department, or another	
2	licensing agency of this state, another state or if the federal government is involved and	
3	otherwise shall not be admissible in any other criminal or civil proceedings.	
4	6. It is understood by the parties that the Real Estate Commissioner may adopt the	
5	Stipulation and Agreement as the Commissioner's Decision in this matter, thereby imposing the	
6	penalty and sanctions on Respondent's real estate licenses and license rights as set forth in the	
7	below "Order." In the event that the Commissioner in his discretion does not adopt the	
8	Stipulation and Agreement, it shall be void and of no effect, and Respondent shall retain the right	
9	to a hearing and proceeding on the Accusation under all the provisions of the APA and shall not	
10	be bound by any admission or waiver made herein.	
11	7. The Order or any subsequent Order of the Real Estate Commissioner made	
12	pursuant to this Stipulation and Agreement shall not constitute an estoppel, merger or bar to any	
13	further administrative or civil proceedings by the Department of Real Estate with respect to any	
14	matters which were not specifically alleged to be causes for accusation in this proceeding.	
15	DETERMINATION OF ISSUES	
16	By reason of the foregoing stipulation and agreement and solely for the purpose of	
17	settlement of the pending Accusation without a hearing, it is stipulated and agreed that the	
18	following determination of issues shall be made:	
19	I.	
20	The conduct, acts and/or omissions of Respondent JAMES WILLIAM MUCCIOLA as	
21	set forth herein above in Paragraph 4, constitute cause for the suspension or revocation of all real	
22	estate licenses and license rights of Respondent JAMES WILLIAM MUCCIOLA pursuant to the	
23	provisions of Code section 10177, subdivision (h), for violation of Code section 10159.2 and	
24	Regulation 2725.	
	Stipulation and Agreement for Respondent James William Mucciola H-42344 LA	
	3	

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1	ORDER
2	I.
3	All licenses and licensing rights of Respondent JAMES WILLIAM MUCCIOLA under
4	the Real Estate Law are revoked; provided, however, a restricted real estate broker license shall
5	be issued to Respondent JAMES WILLIAM MUCCIOLA pursuant to Section 10156.5 of the
6	Code if Respondent JAMES WILLIAM MUCCIOLA makes application therefor and pays to the
7	Department the appropriate fee for the restricted license within 90 days from the effective date of
8	this Decision and Order. The restricted license issued to Respondent JAMES WILLIAM
9	MUCCIOLA shall be subject to all of the provisions of Section 10156.7 of the Code and to the
10	following limitations, conditions and restrictions imposed under authority of Section 10156.6 of
11	that Code:
12	1. The restricted license issued to Respondent JAMES WILLIAM MUCCIOLA may
13	be suspended prior to hearing by Order of the Commissioner in the event of Respondent's
14	conviction or plea of nolo contendere to a crime which is substantially related to Respondent's
15	fitness or capacity as a real estate licensee.
16	2. The restricted license issued to Respondent JAMES WILLIAM MUCCIOLA may
17	be suspended prior to hearing by Order of the Real Estate Commissioner on evidence satisfactory
18	to the Commissioner that Respondent has violated provisions of the California Real Estate Law,
19	the Subdivided Lands Law, Regulations of the Real Estate Commissioner or conditions attaching
20	to the restricted license.
21	3. Respondent JAMES WILLIAM MUCCIOLA shall not be eligible to petition for
22	the issuance of any unrestricted real estate license nor for removal of any of the conditions,
23	limitations or restrictions of a restricted license until two (2) years have elapsed from the
24	
	Stipulation and Agreement for Respondent James William Mucciola H-42344 LA 4

1	effective date of this Decision and Order. Respondent shall not be eligible to apply for any		
2	unrestricted licenses until all restrictions attaching to the license have been removed.		
3	4. Respondent JAMES WILLIAM MUCCIOLA may only act as, or be appointed as,		
4	a designated or licensed officer for no more than a total of four (4) real estate corporations,		
5	including any fictitious business names licensed to Respondent, and no more than two (2) of said		
6	real estate corporations or brokerages may offer or conduct property management services.		
7	5. All licenses and licensing rights of Respondent JAMES WILLIAM MUCCIOLA		
8	are indefinitely suspended unless or until Respondent provides proof satisfactory to the		
9	Commissioner, of having taken and successfully completed the continuing education course on		
10	trust fund accounting and handling specified in paragraph (3) of subdivision (a) of Section		
11	10170.5 of the Business and Professions Code. Proof of satisfaction of these requirements		
12	includes evidence that Respondent has successfully completed the trust fund account and		
13	handling continuing education courses, no earlier than 120 days prior to the effective date of the		
14	Decision and Order in this matter. Proof of completion of the trust fund accounting and		
15	handling course must be delivered to the Department of Real Estate, Flag Section at P.O.		
16	Box 137013, Sacramento, CA 95813-7013 or by fax at 916-263-8758, prior to the effective		
17	date of this Decision and Order.		
18	6. Respondent JAMES WILLIAM MUCCIOLA shall, within six (6) months from		
19	the effective date of this Decision and Order, take and pass the Professional Responsibility		
20	Examination administered by the Department including the payment of the appropriate		
21	examination fee. If Respondent fails to satisfy this condition, Respondent's real estate license		
22	shall automatically be suspended until Respondent passes the examination.		
23	7. Respondent JAMES WILLIAM MUCCIOLA shall, within nine (9) months		
24	from the effective date of this Decision and Order, present evidence satisfactory to the		
	Stipulation and Agreement for Respondent James William Mucciola H-42344 LA		
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1	signed by Respondent, to the Department. Respondent agrees, acknowledges, and understands
2	that by electronically sending to the Department an electronic copy of Respondent's actual
3	signature, as it appears on the Stipulation, that receipt of the emailed copy by the Department
4	shall be as binding on Respondent as if the Department had received the original signed
5	Stipulation. By signing this Stipulation, Respondent understands and agrees that Respondent
6	may not withdraw Respondent's agreement or seek to rescind the Stipulation prior to the time the
7	Commissioner considers and acts upon it or prior to the effective date of the Stipulation and
8	Order.
9	MAILING
10	Respondent and Respondent's counsel shall, within five (5) business days from signing
11	the Stipulation, mail the original signed signature page(s) of the Stipulation herein to Lissete
12	Garcia, Attention: Legal Section, Department of Real Estate, 320 W. Fourth St., Room 350, Los
13	Angeles, California 90013-1105.
14	Respondent's signature below constitute acceptance and approval of the terms and
15	conditions of this Stipulation. Respondent agrees, acknowledges, and understands that by
16	signing this Stipulation Respondent is bound by its terms as of the date of such signature and that
17	this agreement is not subject to rescission or amendment at a later date except by a separate
18	Decision and Order of the Real Estate Commissioner.
19	DATED: 02/22/23
20	Respondent JAMES WILLIAM MUCCIOLA
21	DATED: February 22, 2023
22	Scott J. Harris Esq., Attorney for Respondent James William Mucciola
23	Approved as to Form
24	* * *
	Stipulation and Agreement for Respondent James William Mucciola H-42344 LA
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